Office of Sponsored Projects’ Guide to Completing
INTERNAL APPROVAL FORM FOR EXTERNALLY FUNDED PROJECTS

Please contact Office of Sponsored Projects (OSP) before beginning the IA Approval Form to discuss project proposal and submit a copy of RFP or grant submission guidelines.

The Internal Approval (IA) Form for Externally Funded Projects is the cover form used for routing grant and contract proposals through the University’s submission process, and for recording required University approvals. The IA Form is required for any projects requesting funds from external sources. Copies of this form can be obtained from the Office of Sponsored Projects or it can be downloaded from MyWashburn and the OSP webpage.

This guide should be used to assist an applicant (called the Principal Investigator, or PI) to properly complete an IA form. When submitting a grant proposal, please make sure to complete all information requested on the form. Please complete the IA form electronically using Adobe Reader. For additional assistance regarding the correct grant proposal/contract submittal process for the University, please contact OSP at extensions 1403 (Director) or 2348 (Accountant).

IA form is due to OSP 14 calendar days prior to the agency due date for the application. When submitting your form, please include the following:
1. Complete copy of the prepared grant proposal
2. Complete copy of the RFP or grant submission guidelines (should have been submitted before beginning IA form)
3. Proposed project budget with budget justification
4. Any contracts for new or visiting professors
5. Any contracts or budgets for sub award
6. Any other supporting documents required by the RFP or grant application guidelines

Note: If the PI is required to submit a concept paper, statement of interest, or letter of inquiry to a funding agency for approval before a full proposal is allowed, the concept paper does not need to be submitted for the internal approval process. However, it is required that the PI inform the Director of OSP and the Chair and Dean of the Department of the proposed project before it is submitted to the sponsoring agency for review. If the PI is approved to submit a full grant proposal based on a concept paper, the full proposal will require routing through the internal approval process.

INTERNAL APPROVAL FORM

1. Title of Project: Title of the project proposed in the grant application.
Sponsoring Agency, Name and Address: Name and address of the agency or entity to which the grant application is being submitted for funding. The sponsoring agency may be different from the source of funding dollars (example-a state agency sponsoring a project with federal flow-down funds, making it a federal source).
2. **Principal Investigator (PI):** The individual(s) who are responsible for initiating and directing the proposed grant project.

   **Department:** Please indicate the major academic unit(s) or other entity(ies) in which the PI(s) holds appointment, listing the primary PI and information first.

   **Extension:** If on the Washburn Campus, please indicate the phone extension number for each PI. If not on the Washburn Campus, please indicate the full phone number of the PI.

   **PI Administrative Oversight:** Please indicate with a check which entity will be contractually responsible for administering project activities and reporting requirements.

   Abbreviations: School of Applied Studies (SAS), School of Business (SOBU), School of Law (SOL), School of Nursing (SON), College of Arts and Sciences (CAS), Center for Community Service and Civic Engagement/LinC (CCSCE/LinC), Washburn Institute of Technology (IT), or other name.

3. **Brief Description of Project Proposal:** Please include a brief description of the project proposed in the grant application using the space provided. Please do not write “See narrative” or “See proposal” in the space provided.

   **Project Term:** Please indicate the grant-required beginning and ending dates of the grant proposal or award.

4. **Agency Due Date:** Enter the date the application is due to the funding source. Please indicate if this is the date the application is to be received by the funder (Receipt) or if it is the date the application has to be postmarked on or before (Postmark).

   **Source of Funding:** Type of funding used to sponsor the grant project. Please note the source of funding may not be the same as the type of funding agency (See “Funding Agency” section for an example). If the project is sponsored by more than one source, please check all that apply.

   **Type of Submittal:** Indicate the type of proposal submittal.

   - **Initial:** First proposal for a specific project to this specific grantor.
   - **Competitive Renewal:** For projects currently funded but which require a submission of a new proposal at certain time intervals to ensure continued funding by the grantor, contingent upon the strength of the proposal in comparison to competing proposals and upon the availability of funds.
   - **Non-Competitive Renewal:** For projects currently funded but which require a new proposal at certain time intervals to ensure funding beyond a period of time. The new proposals are not in competition with other proposals for funding (continuation of funding is expected).
   - **Sub Award:** For projects that are a component of a larger grant proposal from an external university or other program. (For example: University of Kansas is the recipient and fiscal agent of a NASA grant award for which Washburn University is allocated a portion of the funds for a specific project.) If this project is a sub award, list the affiliated university or program responsible for managing the full grant.
   - **Letter of Inquiry or Required Draft:** For agencies that require a letter of inquiry or a preliminary draft be reviewed by the agency in advance of submitting a full, initial proposal. Letters of Inquiry may result in a request for a full, initial proposal from the funding agency. Required drafts may result in recommendations for improving the full
proposal, but not in selection or approval of proposals for funding. If you are submitting a letter of inquiry or required draft, please indicate the associated due date.

**CFDA or Agency RFP Number:** Notate the number associated with the Request for Proposals by the agency. In the case of federal funding, please indicate the sponsor’s assigned Catalog of Federal Domestic Assistance (CFDA) number.

**Type of Activity:** Indicate the type of activity proposed in the grant project. Check all that apply:

- **Research:** Systematic inquiry or investigation to discover or revise knowledge, theories or applications.
- **Student Instruction:** Involving instructional or technical course work, training classes, or workshops for students.
- **Professional Development:** Involving training courses or workshops for faculty or staff.
- **Facilities/Construction:** Building, installation, or renovation of a structure.
- **Public Services:** Services rendered to the general public.
- **Equipment:** Purchase of equipment.
- **Collaboration:** Project includes collaboration with other institutes of higher education, public agencies, or entities.
- **Other:** Special exhibition, performance, etc.

5. **Project Budget:** This section refers to the major components of the grant project budget. When completed, this section will be a condensed version of the overall grant proposal budget. If this form is completed on-line, the form should automatically calculate the totals once the correct information has been entered. **Note:** It is strongly suggested the PI review and compare the IA form budget calculations to those prepared for the grant proposal budget to ensure the calculations coincide. If you are completing the IA form manually (instead of electronically using Adobe Reader), please make sure the calculations are correct.

**A. Total Project Cost:** Indicates the total funding support by ALL sources. Please enter (where indicated) the total amount of funding requested from the sponsoring agency and the amount of funding contributed by other external funders (leveraged funds). The “Amount Requested from Washburn” should automatically calculate in response to entering required information in the following section.

**B. Washburn Cash Match Funding Sources:** Source of cash contributions allocated to the grant project from Washburn University. In addition to indicating the amount of funding allocated, please include the funding’s expense designation code to track exactly where this funding is allocated from. This tracking code includes the alpha-numeric sub-codes that indicate the Fund, Organization, Account, Program, Activity, and Location of the funds to be used (FOAPAL). This information is REQUIRED for each cash fund source to be used for the grant project.

**C. Washburn In-Kind Match Funding:** If in-kind match is required by the grant, indicate other costs which are not hard cash but are directly related to the completion of the grant project (and that are not paid by the funding entity). Examples of in-kind match include salaries (with fringe benefits) for faculty and staff working on the project, use of office or other space for project activities, use of existing computers and equipment, etc. This form only requires the
total of all in-kind matching funds be entered. Please briefly describe the in-kind matching funds.

D. Total Washburn Funding Commitment: Please indicate the sum of Washburn’s cash and in-kind matching fund contribution to the proposed grant project. If this form is completed electronically, a formula embedded in this section should automatically calculate the total. This total MUST be the same as the “Washburn Amount Requested” total in Section A. If all items for this section are entered correctly, the “Total Project Cost” in Section A should also automatically calculate.

E. Proposal Benefits to Washburn University: This section considers the benefits that obtaining the grant award and implementing the grant project will have for the University. Please indicate all the benefits that apply to the grant project and award, including the approximate cash value of these benefits.

- **Facilities and Administrative (F&A) Cost Payments:** This is revenue obtained from the grant award for the administrative activities associated with implementing a grant. Check with the grant application guidelines and the OSP Accountant to review the stipulations and methods for calculating F&A costs.

- **Other Sources of Revenue:** List any income that may be a result of the grant project award and activities. Examples include registration fees, material sales, books sales, etc.

- **Other Tangibles:** Additional items that will be obtained and will be of use to the University. Such items include equipment, art, new course work, new books for WU classrooms, new building/space, etc.

- **Other Intangibles:** Items that, although not a physical item, will benefit the University. Such items include facilitating a good University reputation, public relations, published articles, additional recruitment, increased enrollment, etc.

Note: It is the PI’s responsibility to make sure all calculations are correct and coincide with the calculations shown in the original grant proposal budget.

6. Administrative Proposal Requirements (A-M): This section addresses administrative and programmatic activities that, if part of the grant proposal, may require special approvals and/or assurances (this would be in addition to the standard required signatures). It is the PI’s responsibility to follow up on any activity (listed A-K) checked “yes” in this section to ensure any special requirement/approval needed for that activity is completed before the grant application is submitted to OSP for final approval. Many of these forms are available for download on the OSP webpage. Please contact the Director of OSP or OSP Accountant for any questions concerning these special requirements.

7. Additional Information: If necessary, please include any notable information about the grant project proposal that was not included in any of the sections for the IA form.

8. Required Signatures of Principal Investigator and Dean: The final section of this form is for the standard required signatures for the grant project proposal. The PI is responsible for obtaining the required academic signatures (Department Chair, Dean, review boards, etc.) and submitting the IA form with grant proposal to the Office of Sponsored Projects for review.
AREA RESERVED FOR OFFICE OF SPONSORED PROJECTS
This section will be completed by the Director of Sponsored Projects and Sponsored Projects Accountant once the IA form and grant proposal has been reviewed. OSP will route the entire grant application package to the Vice President of Academic Affairs and the Vice President for Administration and Treasurer for final review and approval. **The PI should allow for a minimum of 14 calendar days for the grant proposal application package to be routed through the final approval process. Late submissions to OSP may delay submission to the sponsoring agency.**